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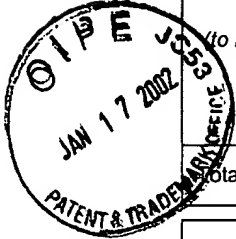
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PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| 0001/PTO Rev. 10/95 | U.S. Department of Commerce Patent and Trademark Office | Application Number | 09/925,121 | |
| TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application) | | Filing Date | August 8, 2001 | |
| | | First Named Inventor | Millard E. Sweatt, III | |
| | | Group Art Unit Number | 2614 | |
| | | Examiner Name | Not Yet Known | |
| Total Number of Pages in This Submission | | 6** | Attorney Docket Number | 22407-05497 |

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| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & PTO-1449 | <input type="checkbox"/> |
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REMARKS: ** "Total Number of Pages in This Submission" does not include cited references.**SIGNATURE OF ATTORNEY OR AGENT**

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| Signature: | | |
| Attorney/Reg. No.: | Martin S. C. Loui, Reg. No. 43,411 | Dated: JANUARY 17, 2002 |

CERTIFICATE OF MAILING

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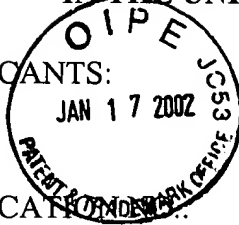
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| Typed or Printed Name: | Martin S. C. Loui | Dated: JANUARY 17, 2002 |
| Express Mail Mailing Number (optional): | EL566206176US | |

#7 S.W.H. 7/24/02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:



Millard E. Sweatt, III, Don Woodward, Chris E. Matichuk, Alain Regnier, Mark Nudelman, Philippe Pignon, F. Andrew Voltmer, Dave Westerhoff, Matthew Self, and Sunil Mohan

APPLICATION NUMBER:

09/925,121

FILING DATE:

August 8, 2001

TITLE:

Method and System for Remote Television Replay Control

EXAMINER:

Not Yet Known

GROUP ART UNIT:

2614

ATTY. DKT. NO.:

22407-05497

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred;
OR
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either:
- (1) a final action under 37 CFR §1.113; OR
 - (2) a notice of allowance under 37 CFR §1.311; AND
- ☐ attached hereto is the fee of \$180, as set forth under 37 CFR §1.17(p), for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
- OR
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either:

- [1] a final action under 37 CFR § 1.113; OR
- [2] a notice of allowance under 37 CFR § 1.311; AND
- in accordance with the requirements of 37 CFR § 1.97(d):
- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ Applicant hereby respectfully petitions for the consideration of the accompanying Information Disclosure Statement under 37 CFR § 1.97(d)(2); AND
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

☒ Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

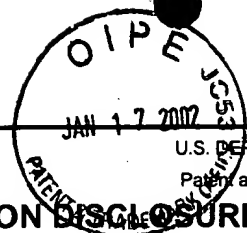
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
Millard E. Sweatt, III, et al.

Dated: JANUARY 17, 2002

By: Martin S. C. Loui
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Palo Alto, CA 94306
Tel.: (650) 858-7901
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FORM PTO-1449
(REV. 6-89)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney's Docket No.
22407-5497

Serial No.
09/925,121

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant
Millard E. Sweatt, III, et al.

Filing Date
August 8, 2001

Group Art Unit
2614

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|------------------|---|-----------------|----------|--------|-------|----------|----------------------------|
| | A | 6,327,418 | 12-4-01 | Barton | 386 | 46 | |
| | B | 6,310,886 | 10-30-01 | Barton | 370 | 462 | |
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FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Subclass | Translation | |
|--|---|-----------------|----------|---------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | C | 01/89203 A2 | 11-22-01 | WO | H04N | 5/00 | | |
| | D | 01/65862 A2 | 9-07-01 | WO | H04N | 71/73 | | |
| | E | 01/65762 A2 | 9-07-01 | WO | H04L | 9/00 | | |
| | F | 01/47279 A2 | 6-28-01 | WO | H04N | 7/26 | | |
| | G | 01/46843 A2 | 6-28-01 | WO | G06F | 17/00 | | |
| | H | 01/47249 A2 | 6-28-01 | WO | H04N | 5/00 | | |
| | I | 01/47238 A2 | 6-28-01 | WO | H04N | | | |
| | J | 01/22729 A1 | 3-29-01 | WO | H04N | 5/92 | | |
| | K | 01/06370 A1 | 1-25-01 | WO | G06F | 11/267 | | |
| | L | 00/67475 A1 | 11-09-00 | WO | H04N | 5/445 | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER _____ DATE CONSIDERED _____

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

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